



# Subacromial decompression surgery for adults with shoulder pain: a systematic review with meta-analysis

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## ABSTRACT

**Objective** To determine the benefits and harms of subacromial decompression surgery in adult patients with subacromial pain syndrome lasting for more than 3 months.

**Design** Systematic review with meta-analysis.

**Main outcome measures** Pain, physical function and health-related quality of life.

**Data sources** Systematic searches for benefits and harms were conducted to 23 July 2018 in MEDLINE, Embase, PubMed, Cochrane Central Register of Controlled Trials, Cochrane Database of Systematic Reviews, Cumulative Index to Nursing and Allied Health Literature, Physiotherapy Evidence Database, ClinicalTrials.gov, WHO International Clinical Trials Registry Platform, Database of Abstracts of Reviews of Effects, and Health Technology Assessment.

### Eligibility criteria for selecting studies

Randomised controlled trials comparing subacromial decompression surgery for subacromial pain syndrome with any other treatment(s). For harms, we included prospective cohort studies.

**Review methods** Two reviewers independently determined eligibility, extracted the data and assessed the risk of bias of eligible studies. Thirty patients seeking primary or outpatient care for subacromial pain syndrome and a parallel guideline committee (*BMJ* Rapid Recommendations) provided input regarding systematic review design and interpretation.

**Results** There was high certainty evidence of no additional benefit of subacromial decompression surgery over placebo surgery in reducing pain at 1 year following surgery (mean difference [MD]  $-0.26$ , 95% CI  $-0.84$  to  $0.33$ , minimally important difference [MID] 1.5) or improving physical function at 1–2 years (MD 2.8, 95% CI  $-1.4$  to  $6.9$ , MID 8.3). There was moderate certainty evidence for no additional benefit of subacromial decompression surgery on health-related quality of life at 1 year (MD  $-0.03$  points, 95% CI  $-0.11$  to  $0.06$ , MID 0.07). There was moderate certainty evidence for six serious harms per 1000 (95% CI 5 to 7) patients undergoing subacromial decompression.

**Conclusion** Subacromial decompression surgery provided no important benefit compared with placebo surgery or exercise therapy, and probably carries a small risk of serious harms.

**Systematic review registration number** CRD42018086862.

## What is already known

- Subacromial decompression surgery is a common procedure.
- Unblinded trials at high risk of bias have failed to demonstrate the benefit of subacromial decompression surgery compared with exercise therapy for subacromial pain syndrome.
- Two recently published placebo surgery-controlled trials provide low risk of bias evidence regarding the efficacy of surgery.

## What are the new findings

- Compared with placebo surgery, subacromial decompression surgery does not provide additional benefits with respect to pain, function or quality of life in adults with subacromial pain syndrome, and probably causes rare serious harms (moderate to high certainty evidence).
- Compared with exercise therapy, subacromial decompression surgery probably provides a small but likely unimportant improvement in pain (moderate certainty) and may not improve function in adults with subacromial pain syndrome (low certainty).
- Policymakers, guideline developers, clinicians and patients should reconsider the role of subacromial decompression surgery in practice.

## INTRODUCTION

Every year, up to 1 in every 50 adults in the UK seek care for new-onset shoulder pain.<sup>1,2</sup> Subacromial pain syndrome (SAPS)—also known as shoulder impingement or rotator cuff disease—is the most common diagnosis.<sup>3</sup> Based on rotator cuff lesions found in cadaver shoulders, surgeons hypothesised that SAPS arises due to impingement of the rotator cuff tendons between the head of the humerus and the undersurface of the acromion process.<sup>4,5</sup>

This mechanistic hypothesis has driven the use of subacromial decompression surgery—removal of the subacromial bursa and some bone from the anteroinferior surface of the acromion to prevent impingement on the rotator cuff tendons. Guidelines currently recommend surgical treatment of SAPS unresponsive to first-line management



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### Box 1 Linked articles in this *BMJ* Rapid Recommendations cluster

- ▶ Vandvik PO, Lähdeoja T, Ardern C, *et al.* Subacromial decompression surgery for adults with shoulder pain: a clinical practice guideline (accepted *BMJ*).
- ▶ Hao Q, Devji T, Zeraatkar D, *et al.* Minimal important differences in shoulder condition outcomes: a systematic review to inform a *BMJ* Rapid Recommendation (in review, *BMJ Open*).
- ▶ Lähdeoja T, Karjalainen T, Jokihaara J, *et al.* Subacromial decompression surgery for adults with shoulder pain: a systematic review with meta-analysis.

An updated Cochrane systematic review (Karjalainen T *et al.*, Cochrane Database of Systematic Reviews 2019, Issue 1. Art. No: CD005619. DOI: 10.1002/14651858.CD005619.pub3) was performed in parallel on subacromial decompression surgery for rotator cuff disease.

(typically a combination of exercise therapy, corticosteroid injections and activity modification).<sup>6,7</sup>

Responding to biological rationale, the ease of arthroscopic surgery and clinical practice guidelines, surgeons—depending on where they practice—conduct between 19 and 132 subacromial decompression surgeries per 100 000 persons each year; rates have increased at least fivefold over the past two decades.<sup>8–11</sup> In the UK, surgeons conduct over 21 000 procedures every year.<sup>8</sup>

This systematic review addressing the benefits and harms of subacromial decompression surgery compared with other interventions in adult patients with SAPS lasting for more than 3 months informs the 13th *BMJ* Rapid Recommendations—a series of trustworthy clinical practice recommendations published in response to potentially practice-changing evidence (box 1). Two recent trials that compared subacromial decompression surgery with placebo surgery triggered this systematic review.<sup>12,13</sup> These studies have the potential to guide clinical practice by providing an unbiased estimate of the efficacy of subacromial decompression surgery above and beyond any placebo effects.<sup>14,15</sup>

### METHODS

We followed the Preferred Reporting Items for Systematic Reviews and Meta-Analyses statement<sup>16</sup> when conducting and reporting this prospectively registered systematic review (PROSPERO trial registration number: CRD42018086862).

We conducted this systematic review in collaboration with a parallel update of a Cochrane Review—both review teams agreed on the methods, results and overlapping authorship, and shared work on key review steps, to align with the needs of the *BMJ* Rapid Recommendations panel.

### *BMJ* Rapid Recommendations and patient involvement

In accordance with the *BMJ* Rapid Recommendations process,<sup>17</sup> a guideline panel provided critical input and guidance during the review process, which included identifying populations, subgroups and outcomes of interest. The OMERACT (Outcome Measures in Rheumatology) draft core domain set for clinical trials of shoulder disorders<sup>18</sup> formed the starting point for consideration of outcomes of interest.

The guideline panel included content experts, front-line clinicians, methodologists and four patients with lived experience of SAPS. Patients received personal training and support to contribute throughout the guideline development process. They

were full members of the guideline panel, contributed to the selection and prioritisation of outcomes, and provided critical feedback to the *BMJ* Rapid Recommendations manuscript.

The guideline panel also requested a linked systematic review to identify the most credible estimates of minimally important differences (MIDs) for pain, function and quality of life (Hao Q *et al.*, in submission). We used the most credible MID estimates to inform judgements regarding estimates of effect in our systematic review, and to determine the importance of observed differences in effects, reflecting patients' values and preferences.

### Patient involvement in this systematic review

In addition to the input from patients in the guideline panel, we involved a second group of patients to help prioritise the outcomes for this systematic review. We invited 33 patients seeking care for SAPS in an orthopaedic outpatient clinic (Helsinki University Hospital) and a primary care unit (Occupational Health Helsinki) to answer a questionnaire addressing their shoulder condition. We queried, with open questions, what was the most bothersome symptom, and asked respondents to name the three most important activities affected by their shoulder problem.

Thirty patients answered our questionnaire. Pain was the most bothersome symptom for 26 patients (86%). The most commonly affected activities were disturbed sleep, difficulties elevating the arm and participation in sports.

### Eligibility criteria

We included randomised controlled trials comparing subacromial decompression surgery for SAPS with any other treatment(s) in adults. Because SAPS is a clinical diagnosis, we did not set strict diagnostic criteria. Instead, we accepted studies' own criteria for SAPS diagnoses with no requirement for imaging. We excluded trials in which any enrolled patient had a symptom duration of less than 3 months. Subacromial decompression surgery was defined as open or arthroscopic bursectomy and/or acromioplasty. As the anticipated effects and outcomes of relevance are similar following open and arthroscopic acromioplasty,<sup>19,20</sup> we combined data from these two surgical approaches.

We excluded trials with mixed diagnoses across participants, including calcific tendinitis, full-thickness rotator cuff tears and secondary shoulder pain (post-traumatic, laxity, thrower's shoulder and so on, diagnosed by imaging or at surgery) unless it was possible to extract data from patients with SAPS separately.

For harms, we anticipated trial data would not represent the best body of evidence due to few events and incomplete reporting. In our protocol we stated that we would include studies regardless of design. However, we realised that well-performed observational studies designed to detect harms, including consecutive samples of patients undergoing surgery, outside the context of strictly controlled trials, provide much higher certainty evidence of rare harms than designs at higher risk of bias. Therefore, we included only prospective cohort studies on harms after shoulder arthroscopy for a variety of diagnoses including SAPS from registries designed to evaluate harms. We did not specify a minimum symptom duration and there were no exclusion criteria. Among the identified studies we selected studies that provided estimates of harms with the highest certainty. In this assessment we emphasised the risk of bias and issues related to indirectness (eg, type of patients, surgery and time frame for recording harms).

## Literature search

For trials regarding efficacy, we searched MEDLINE, Embase, PubMed, Cochrane Central Register of Controlled Trials (CENTRAL), Cumulative Index to Nursing and Allied Health Literature (CINAHL), Physiotherapy Evidence Database (PEDro), ClinicalTrials.gov and WHO International Clinical Trials Registry Platform. We used the Cochrane Highly Sensitive Search Strategy for identifying randomised trials in MEDLINE—sensitivity-maximising and precision-maximising version (2008 revision) in MEDLINE and PubMed—and adapted for other sources where needed.

For harms, we searched MEDLINE, Embase, PubMed, CENTRAL, Cochrane Database of Systematic Reviews, Database of Abstracts of Reviews of Effects, Health Technology Assessment, CINAHL and PEDro.

All searches were performed from database inception to 23 July 2018 (search strategies for all databases are in online supplementary appendix), excluding animal studies and publication types unlikely to contain relevant information (news, comments, letters to the editor and editorials) but without other search limits.

## Study selection

Two independent reviewers evaluated eligibility and extracted data using records imported to the Covidence systematic review software (Veritas Health Innovation, Melbourne, Australia; www.covidence.org). When necessary, we obtained full-text articles to determine eligibility for inclusion, including English-language translations. Disagreements were resolved by discussion.

## Outcomes

The guideline panel identified outcomes of importance to patients; we used outcomes from the trials that most closely corresponded to those chosen by the patients (table 1). Because it was the most bothersome symptom for 86% of the patients who completed our survey, we considered pain as the most important outcome in this systematic review.

We defined serious harms as death, bleeding (uncontrolled or requiring transfusion), cardiac arrest requiring cardiopulmonary

resuscitation, myocardial infarction, cerebrovascular accident, acute renal failure, unplanned intubation, requiring ventilator for >48 hours, deep infection (surgical site or organ/space), sepsis, septic shock, pneumonia, wound dehiscence, pulmonary embolism, deep vein thrombosis or peripheral nerve injury.

## Data extraction

Two independent reviewers extracted outcome data for all available follow-up assessments. If authors did not report relevant numeric outcome data in the text, we contacted the authors or, when available, extracted the data from figures and graphs. We also extracted the following data: trial characteristics, patient demographic variables, diagnosis, treatment and data about trial methodology. Online supplementary appendix table 1 presents a full list of extracted data items.

We used a priori-defined decision rules for data extraction:

1. When triallists reported final values and change from baseline values for the same outcome, we extracted the final values.
2. When triallists reported unadjusted and adjusted values for the same outcome, we extracted the unadjusted values.
3. When triallists reported data based on the intention-to-treat (ITT) sample and another sample (eg, per-protocol, as-treated), we extracted ITT-analysed data.

## Risk of bias assessment

Two independent reviewers assessed the risk of bias in included trials using the Cochrane Risk of Bias Tool<sup>21</sup> and assessed all the publications including protocols. We categorised outcomes as patient-reported or clinician-assessed, and classified outcome measures with mixed patient-reported and clinician-assessed items as patient-reported. When a trial had multiple follow-up time points, we assessed these individually, but the overall risk of bias was judged prioritising follow-up of 6 months to 1 year.

We used the Quality In Prognosis Studies tool<sup>22</sup> to assess the risk of bias in observational studies contributing data to the estimate of serious harms.

## Data management

When trials used different outcome measures to evaluate the same construct, we chose the most common outcome measure as the index and transformed mean differences (MD) and SD of other outcome measures to the index instrument, and pooled the data using MD as the summary estimate.<sup>23 24</sup> When large variations in SDs led to problematic weights in the meta-analysis, we pooled standardised mean differences (SMD). In this case, the SMDs were back-transformed to the scale of the index outcome measure. If SDs or 95% CIs were unavailable, we imputed the SD using the most representative study.

For trials not reporting the index instrument, we followed a prespecified outcome hierarchy when deciding which data would be pooled (online supplementary appendix table 2). For Farfaras *et al*,<sup>25</sup> we combined<sup>15</sup> the open and arthroscopic subacromial decompression surgery arms in all analyses.

## Data synthesis and analysis

In the meta-analysis, we synthesised continuous outcome data using MDs and SDs. We estimated the absolute values in the control group by applying the median of the means, and in the intervention group by summing the pooled MDs and SDs to the medians of the means. For dichotomous outcome data, we used relative risk for synthesis. We calculated the absolute estimates of effect by applying relative risk estimates from the meta-analysis to a baseline risk, for which we used the pooled

**Table 1** Patient-important outcomes identified by the guideline panel aligned to the most corresponding outcome set available in the included trials and studies

Panel-identified outcomes	Outcomes chosen from included trials and studies
Pain	Pain (visual analogue scale and numeric rating scale, various scales).
Physical function	Combined outcomes of physical function, physical capacity and pain items (Constant Score and its modifications, Shoulder Disability Questionnaire, Neer Score). We refer to these as 'function' outcomes for simplicity.
Global perceived effect	Global perceived effect. The outcome was derived by subtracting the patients who reported 'worse' or 'much worse' from the number of patients reporting 'much better' or 'no shoulder problems at all'/'healed completely' at the relevant time points.
Quality of life	Health-related quality of life (EQ-5D-3L and 15D).
Participation	Return to leisure activities/sport. Working status (working yes/no).
Development of full-thickness rotator cuff tears	Prevalence of full-thickness rotator cuff tears at follow-up.
Harms	Serious harms.

mean of the control groups of the included trials. We used RevMan V.5.3 (Copenhagen: The Nordic Cochrane Centre, The Cochrane Collaboration, 2014) for the meta-analyses, with the generic inverse-variance random-effects model for continuous outcomes, and Mantel-Haenszel method and random effects for dichotomous outcomes. We assessed statistical heterogeneity with the  $\chi^2$  and  $I^2$  statistics.

We conducted meta-analyses, guided by considerations of bias. For the primary comparison, we pooled data from comparisons at low risk of bias. For the secondary comparison, we pooled data from comparisons irrespective of risk of bias.

We assessed outcomes at 3 months, 6 months, 1 year, 2 years (for which we pooled data up to 3 years if no 2-year data were available), 5 years (we prioritised time points closest to 5 years) and >10 years following randomisation.

For harms, we calculated incidence proportions using a generalised linear model, using a binomial distribution and an identity link function.

### Sensitivity analyses

We planned a sensitivity analysis for pain at 1 year to assess the impact of attrition bias due to missing data,<sup>26</sup> but due to low risk of attrition bias this was not conducted. We also planned to assess small study bias by inspecting the distribution of funnel plots,<sup>27</sup> but there were too few trials.

### Subgroup analyses

We planned a number of subgroup analyses, based on shoulder-related classifications, but because there were fewer than 10

trials<sup>15</sup> we were unable to carry these out. Planned sensitivity and subgroup analyses are detailed in the PROSPERO registration.

### Certainty of evidence

For all outcomes, we assessed the certainty of evidence of benefits of surgery compared with other treatments and harms of surgery using the Grading of Recommendations Assessment, Development and Evaluation (GRADE) approach. We appraised the potential limitations due to risk of bias, inconsistency, imprecision, indirectness and publication bias. We created summary of findings tables using MAGICapp (www.magicapp.org) to provide evidence summaries with relative and absolute effects across all outcomes and associated certainty.

## RESULTS

### Benefits

The search yielded 842 records. Of these, we considered the full texts of 15 trials for inclusion. After full-text review, 9 trials (18 publications including 2 trial protocols) fulfilled the eligibility criteria (see flow chart in online supplementary appendix figure 1).<sup>12 13 25 28–42</sup>

### Study characteristics

The 9 eligible trials included 1014 patients. The mean age at baseline ranged from 43 to 59 years (table 2 and online supplementary appendix table 3). Two trials<sup>12 13</sup> compared subacromial decompression surgery with placebo surgery. Both trials also included a non-surgical arm. Five trials<sup>25 30 33 35 41</sup> compared

**Table 2** Characteristics of included trials

Trial	Intervention(s) and control	Age, years*	Proportion of women	Symptom duration, years	Baseline pain†	Baseline function‡*
Paavola <i>et al</i> <sup>13</sup>	ASAD (n=59)	50.5 (7.3)	71% (42/59)	1.5 (1.2)	7.12 (2.36)	32.2 (28.2 to 36.2)
	DA (n=63)	50.8 (7.6)	73% (46/63)	1.5 (1.6)	7.23 (2.17)	31.7 (28.2 to 35.2)
	ET (71)	50.4 (6.6)	66% (47/71)	1.9 (1.9)	7.24 (2.08)	35.2 (31.4 to 39.0)
Beard <i>et al</i> <sup>12</sup>	ASAD (n=106)	52.9 (10.3)	51% (54/106)	–	6.0 (1.9)	39.4 (13.9)
	DA (n=103)	53.7 (10.5)	50% (52/103)	–	5.8 (2.0)	43.1 (15.5)
	WaS (n=104)	53.2 (10.2)	50% (52/104)	–	6.6 (1.7)	38.3 (14.2)
Farfaras <i>et al</i> <sup>25</sup>	ASAD (n=29)	–	–	–	–	56 (11.3)
	OSAD (n=24)	–	–	–	–	48 (15.7)
	ET (n=34)	–	–	–	–	56 (13.1)
Ketola <i>et al</i> <sup>35</sup>	ASAD (n=70)	46.4	56% (41/70)	2.5 (0.25–17)	6.4	22.0
	ET (n=70)	47.8	67% (47/70)	2.6 (0.25–20)	6.5	17.5
Henkus <i>et al</i> <sup>34</sup>	ASAD (n=30)	50.0	47% (14/30)	–	7.9	57
	BT (n=26)	43.0	65% (17/26)	–	6.8	56
Taverna <i>et al</i> <sup>42</sup>	ASAD (n=30)	52.0 (7)	57% (17/30)	–	8.2 (0.8)	54 (4)
	MT (n=30)	53.0 (7)	70% (21/30)	–	8.4 (0.9)	51 (4)
Haahr <i>et al</i> <sup>33</sup>	ASAD (n=41)	44.3	71% (29/41)	–	6.56 (5.78 to 7.33)	33.7 (29.2 to 38.2)
	ET (n=43)	44.5	67% (29/43)	–	7.22 (6.56 to 7.78)	34.7 (30.4 to 39.0)
Peters and Kohn <sup>41</sup>	SAD (n=32)	56	44% (14/32)	–	–	54
	CT (n=40)	59	30% (12/40)	–	–	59
Brox <i>et al</i> <sup>30</sup>	ASAD (n=45)	48.0	36% (16/45)	–	7.25 (1.9)	63.4 (10.8)
	ET (n=50)	47.0	56% (28/50)	–	6.89 (2.3)	66.3 (8.8)
	Laser (n=30)	48.0	50% (15/30)	–	6.96 (2.5)	64.7 (10.6)

Values presented as mean (SD) for age, mean (SD, minimum–maximum) for symptom duration, mean (SD, 95% CI) for baseline pain and mean (SD, 95% CI) for baseline function.

\*Medians reported by Peters and Kohn.<sup>41</sup>

†Measures were transformed to a 0–10 scale, with a higher score indicating less pain.

‡Measures were transformed to a 0–100 scale, with a higher score indicating superior function.

ASAD, arthroscopic subacromial decompression surgery; BT, bursectomy; CT, conservative therapy including ET; DA, diagnostic arthroscopy; ET, exercise therapy; MT, microtenotomy; OSAD, open subacromial decompression surgery; SAD, subacromial decompression surgery; WaS, wait and see.

subacromial decompression surgery with exercise therapy; one had a placebo laser arm with no active treatment.<sup>30</sup>

One trial<sup>34</sup> compared acromioplasty plus bursectomy with bursectomy alone. One trial<sup>42</sup> compared acromioplasty with bursectomy plus radiofrequency-device microtenotomy. We excluded both trials from the meta-analysis because they compared different approaches to subacromial decompression surgery.

### Risk of bias assessment

For the comparisons of subacromial decompression surgery versus placebo surgery, the risk of bias was low for all outcomes (online supplementary appendix table 4). Due to detection bias (all studies), selection bias,<sup>25</sup> attrition bias<sup>25 35</sup> and selective reporting,<sup>33 41</sup> for the comparisons of subacromial decompression surgery versus non-surgical treatment, the risk of bias was

high for all outcomes except rotator cuff tears (online supplementary appendix table 4).

### Primary comparisons

Two trials<sup>12 13</sup> were at low risk of bias and sufficiently clinically and methodologically homogeneous to allow pooling for the comparison of *subacromial decompression surgery plus postoperative rehabilitation versus placebo surgery plus postoperative rehabilitation*. Table 3 and online supplementary appendix table 5 present the GRADE summary of findings for outcomes for this comparison.

For pain (VAS, 0–10, lower better, MID=1.5 units), we found high certainty evidence of no benefit of surgery at 6 months (2 trials, 299 participants: MD 0.07, 95% CI –0.51 to 0.64,  $I^2=0\%$ ,  $p=0.82$ ) or at 1 year (2 trials, 284 participants: MD –0.26, 95% CI –0.84 to 0.33,  $I^2=0\%$ ,  $p=0.39$ ) (figure 1,

**Table 3** GRADE Summary of findings for the comparison of subacromial decompression surgery with placebo surgery

Outcome, time frame	Measurement instruments and relative effects	Absolute effect estimates		Certainty in effect estimates (quality of evidence)	Plain text summary
		Placebo surgery	Surgery		
Pain, 6 months	Measured by VAS and NRS scaled to 0–10. Scale: 0–10, lower better. MID: 1.5 units. Based on data from 299 patients in 2 studies.	3.9 Difference: MD 0.07 higher (95% CI 0.51 lower to 0.64 higher).	4.0	High.	Surgery has little or no effect on pain at 6 months.
Pain, 1 year	Measured by VAS and NRS scaled to 0–10. Scale: 0–10, lower better. MID: 1.5 units. Based on data from 284 patients in 2 studies.	2.9 Difference: MD 0.26 lower (95% CI 0.84 lower to 0.33 higher).	2.6	High.	Surgery has little or no effect on pain at 1 year.
Function, 6 months	Measured by Constant Score. Scale: 0–100, higher better. MID: 8.3 points. Based on data from 286 patients in 2 studies.	61 Difference: MD 3.72 lower (95% CI 8.72 lower to 1.28 higher).	57	High.	Surgery has little or no effect on function at 6 months.
Function, 1–2 years	Measured by Constant Score. Scale: 0–100, higher better. MID: 8.3 points. Based on data from 274 patients in 2 studies.	69 Difference: MD 2.76 higher (95% CI 1.36 lower to 6.87 higher).	72	High.	Surgery has little or no effect on function at 1–2 years.
Global perceived effect, 6 months	Relative risk: 1.04 (95% CI 0.81 to 1.34). Based on data from 293 patients in 2 studies.	447 per 1000 Difference: 18 more per 1000 (95% CI 85 fewer to 152 more).	465 per 1000	Moderate, due to serious imprecision*.	Surgery probably has little or no global perceived effect at 6 months.
Global perceived effect, 1 year	Relative risk: 1.1 (95% CI 0.94 to 1.3). Based on data from 290 patients in 2 studies.	635 per 1000 Difference: 64 more per 1000 (95% CI 38 fewer to 190 more).	699 per 1000	Moderate, due to serious imprecision*.	Surgery probably has little or no global perceived effect at 1 year.
Quality of life, 6 months	Measured by EQ-5D. Scale: –0.59–1, higher better. MID: 0.07 units. Based on data from 292 patients in 2 studies.	0.67 Difference: MD 0.01 lower (95% CI 0.08 lower to 0.05 higher).	0.66	High.	Surgery has little or no effect on quality of life at 6 months.
Quality of life, 1 year	Measured by EQ-5D. Scale: –0.59–1, higher better. MID: 0.07 units. Based on data from 285 patients in 2 studies. <sup>23</sup>	0.73 Difference: MD 0.03 lower (95% CI 0.11 lower to 0.06 higher).	0.70	High.	Surgery has little or no effect on quality of life at 1 year.
Serious harms†	Based on data from 25 240 patients in 2 observational studies. Follow-up 30 days.	Incidence: 5 or 6 (4 or 5–7)/1000		Moderate, due to serious indirectness‡.	Surgery probably causes rare serious harmst.
Frozen shoulder, RCTs	Relative risk: 1.69 (95% CI 0.41 to 6.91). Based on data from 331 patients in 2 studies.	18 per 1000 Difference, 12 more per 1000 (95% CI 11 fewer to 106 more).	30 per 1000	Low, due to very serious imprecision§.	Surgery may increase the incidence of frozen shoulder.

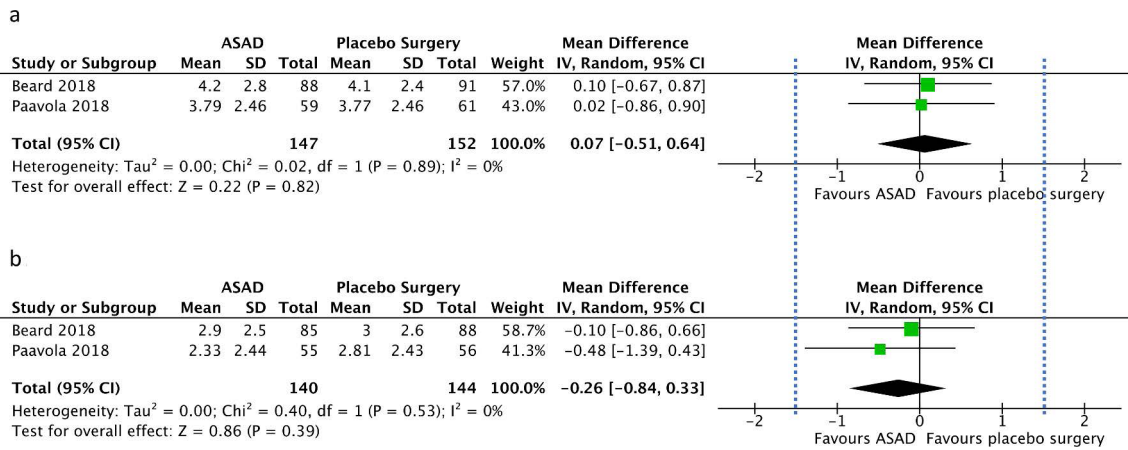
\*Imprecision: serious, wide CIs.

†Serious harms were death, bleeding (uncontrolled or requiring transfusion), cardiac arrest requiring cardiopulmonary resuscitation, myocardial infarction, cerebrovascular accident, acute renal failure, unplanned intubation, ventilator >48 hours, deep infection (surgical site or organ/space), sepsis, septic shock, wound dehiscence, pulmonary embolism, deep vein thrombosis and peripheral nerve injury.

‡Indirectness: serious. Differences between the intervention of interest and those studied; observational studies included other arthroscopic shoulder surgeries in addition to subacromial decompressions only.

§Imprecision: very serious. Low number of patients/events, wide CIs.

MID, minimally important difference; NRS, numeric rating scale; RCT, randomised controlled trial; VAS, visual analogue scale.



**Figure 1** Forest plots of pain at (A) 6 months and (B) 1 year. Dashed vertical line denotes minimally important difference (1.5 units, lower better). ASAD, arthroscopic subacromial decompression surgery, IV, inverse-variance.

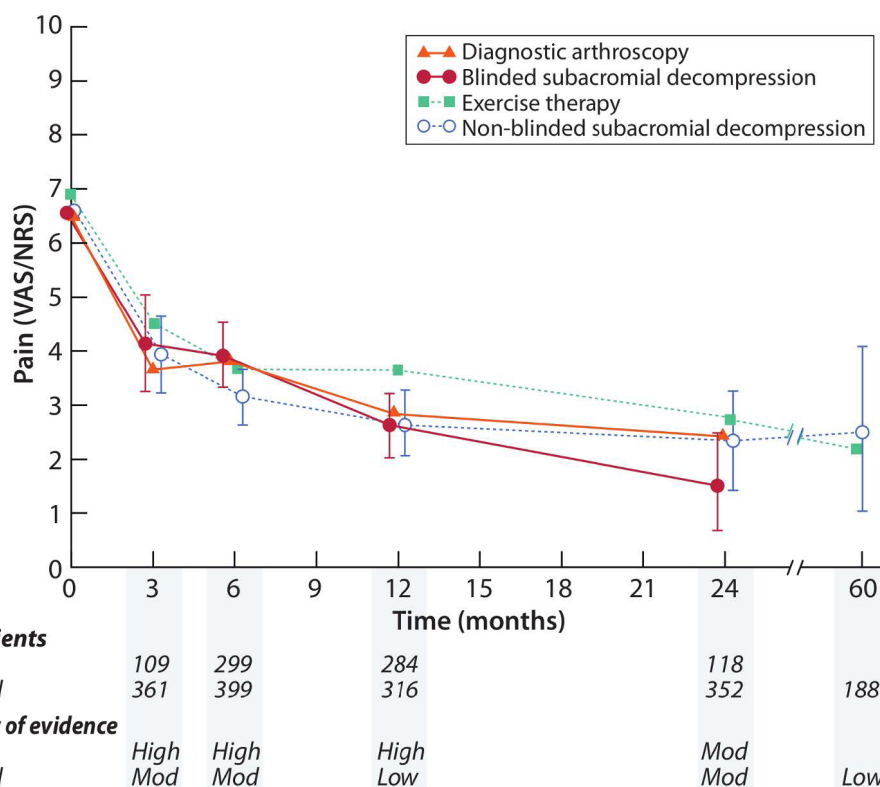
figure 2). One trial reported pain at 3 months and 2 years (online supplementary appendix table 5).

For function (Constant Score, 0–100, higher better, MID=8.3 points), we found high certainty evidence of no benefit of surgery at 6 months (2 trials, 286 participants: MD -3.7, 95% CI -8.7 to 1.3, I<sup>2</sup>=30%, p=0.08) or at 1–2 years (2 trials, 274 participants: MD 2.8, 95% CI -1.4 to 6.9, I<sup>2</sup>=0%, p=0.19) (figure 3, figure 4).

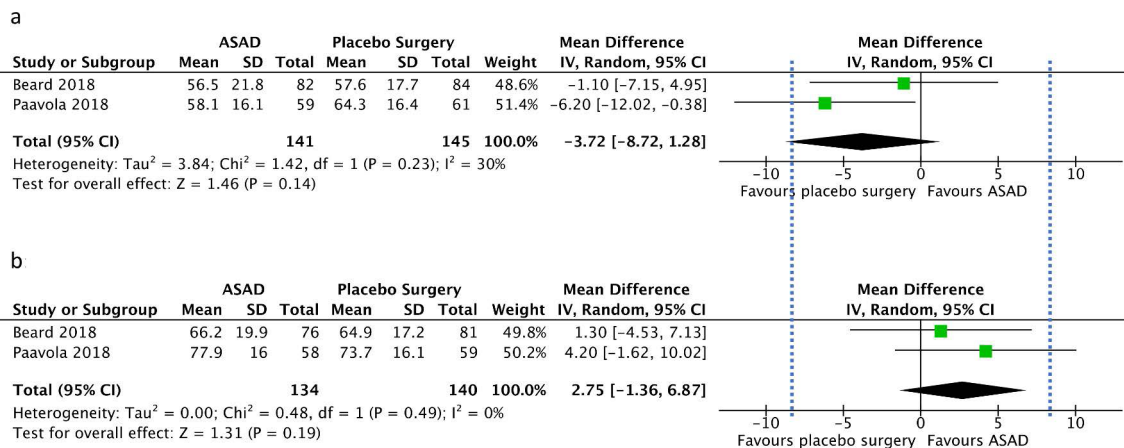
For global perceived effect, we found moderate certainty evidence of no benefit of surgery at 6 months (2 trials, 293 participants: relative risk (RR) 1.04, 95% CI 0.81 to 1.34) or at 1 year (2 trials, 290 participants: RR 1.10, 95% CI 0.94 to 1.30) (online supplementary appendix figure 2).

For health-related quality of life (EQ-5D, -0.59 to 1, MID 0.07 units), we found high certainty evidence of no benefit of surgery at 6 months (2 trials, 292 participants: SMD -0.05, 95% CI -0.27 to 0.18, which back-transformed to -0.01 points, 95% CI -0.08 to 0.05 in EQ-5D) or at 1 year (2 trials, 285 participants: SMD -0.09, 95% CI -0.39 to 0.21, which back-transformed to -0.03 points, 95% CI -0.11 to 0.06 in EQ-5D) (online supplementary appendix figure 3).

One trial reported return to work and return to sport/leisure activities, providing low certainty evidence of no benefit of surgery in participation in work or sport/leisure activities at all time points (online supplementary appendix table 5).



**Figure 2** Change in pain over time for all available time points. Control group (diagnostic arthroscopy and exercise therapy) values are medians of the mean pain values in the trials. Intervention group values are control groups values + mean differences with 95% CIs from the meta-analysis (VAS, visual analogue scale, MID=1.5 units). MID, minimally important difference; Mod, moderate; NRS, numeric rating scale.



**Figure 3** Forest plots of function at (A) 6 months and (B) 1–2 years (Beard *et al.*,<sup>12</sup> 1 year; Paavola *et al.*,<sup>13</sup> 2 years). Dashed vertical line denotes minimally important difference (8.3 points, higher better). ASAD, arthroscopic subacromial decompression surgery; IV, inverse-variance.

Studies included in the primary comparison provided no data regarding the prevalence of full-thickness rotator cuff tears at follow-up.

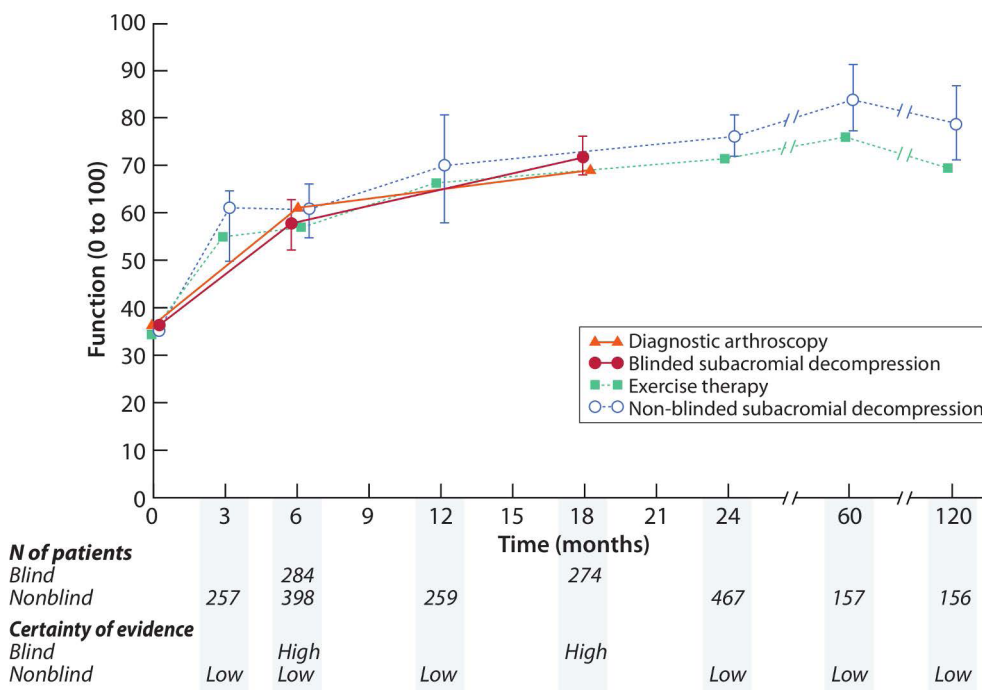
**Secondary comparisons**

Comparisons in six trials<sup>25 30 33 35 40 41</sup> were at high risk of bias and sufficiently clinically and methodologically homogeneous to allow pooling for the comparison of *subacromial decompression surgery plus postoperative rehabilitation versus exercise therapy alone*. Online supplementary appendix table 6 presents the GRADE summary of findings for this comparison.

For pain (VAS, 0–10, MID 1.5 units), we found moderate certainty evidence of no patient-important benefit of surgery at 3 months (4 trials, 361 participants: MD -0.55, 95% CI -1.24 to 0.14) and 6 months (4 trials, 399 participants: MD -0.56,

95% CI -1.09 to -0.02), and at 2 years (3 trials, 352 participants: MD -0.44, 95% CI -1.37 to 0.48) (table 3, online supplementary appendix figure 4) and 5 years (2 trials, 188 participants: MD 0.36, 95% CI -1.17 to 1.89). At 1 year (3 trials, 316 participants: MD -1.01, 95% CI -1.60 to -0.42) we found low certainty evidence of a small benefit, which is likely unimportant for patients (figure 2, online supplementary appendix figure 4). One trial reported pain at 10 years.

For function (transformed to Constant Score, 0–100), we found low certainty evidence of no patient-important benefit at 3 (3 trials, 257 participants: MD 6.1, 95% CI -5.6 to 17.8) and 6 (4 trials, 398 participants: MD 3.7, 95% CI -2.3 to 9.6) months, and at 1 (3 trials, 259 participants: MD 3.2, 95% CI -8.1 to 14.6), 2 (5 trials, 467 participants: MD 4.9, 95% CI 0.8 to 9.1) and 5 (2 trials, 157 participants: MD 7.6, 95% CI



**Figure 4** Change in function over time for all available time points. Control group (diagnostic arthroscopy and exercise therapy) values are medians of the mean function values in the trials. Intervention group values are control groups values + mean differences with 95% CIs from the meta-analysis. Constant Score MID=8.3 points. Data pooled from 1-year and 2-year time points are presented at 18 months. MID, minimally important difference.

0.2 to 15.1) years. At 10 years, we found low certainty evidence of a mean patient-important benefit (2 trials, 156 participants: MD 9.5, 95% CI 1.9 to 17.2) (figure 4, online supplementary appendix figure 5). The CI included values that were lower than the MID.

We found moderate certainty evidence of no benefit in the number of patients working at 6 months (2 trials, 187 participants: RR 1.05, 95% CI 0.81 to 1.36) and 2 years (2 trials, 183 participants: RR 0.87, 95% CI 0.70 to 1.07). Only single-study data were available for working status at 3 months, 1 year and 10 years. One study reported return to sports/leisure activities, with no difference between groups (online supplementary appendix table 6, online supplementary appendix figure 6).

We found low certainty evidence of no benefit of surgery in the incidence of full-thickness supraspinatus tendon tears (diagnosed with MRI<sup>36</sup>) at 5 years (1 trial, 90 participants: RR 1.00, 95% CI 0.40 to 2.52).<sup>31</sup> (online supplementary appendix table 6).

### Harms

Both placebo-controlled trials reported harms,<sup>15 16</sup> while two of the trials with non-surgical comparisons reported that there were no harms<sup>33 38</sup> and three did not mention harms.<sup>25 33 41</sup> Overall the included trials provide low certainty evidence regarding harms due to small sample size and incomplete reporting. The two placebo-controlled trials reported five participants experiencing frozen shoulder in the subacromial decompression group and three in the placebo surgery group (table 3). Four participants experienced frozen shoulder in the non-surgical groups. One patient who received placebo surgery experienced temporary swelling related to a brachial plexus block.

The search for studies addressing harms yielded 2363 records, of which 140 were assessed in full text for eligibility. We excluded 138 of these publications (online supplementary appendix figure 1). The data of the four remaining studies came from the same large prospective registry designed to record harms.<sup>43</sup> Of the four studies, one assessed harms in patients over 65 years and was excluded due to unrepresentative patient population. Of the remaining three, one was excluded as it enrolled a subset of patients in the two other studies, leaving two studies that were included. The studies addressed 30-day harms after a variety of shoulder arthroscopic procedures including subacromial decompression surgery. We found no eligible studies reporting data separately for subacromial decompression surgeries.

We based our estimates of harms on two studies from the same registry, reporting harms over two slightly overlapping time periods.<sup>44 45</sup> The studies included 15 015<sup>44</sup> and 10 225<sup>45</sup> patients, both at low risk of bias (online supplementary appendix table 7). The incidence of serious harms following mixed shoulder arthroscopic procedures during 2006–2011 was 0.5% (0.4%–0.7%)<sup>45</sup> and during 2011–2013 was 0.6% (0.5%–0.7%).<sup>44</sup> Online supplementary appendix table 8 presents the details of harms. Longer duration of surgery (>1.5 hours) was associated with harms (OR in one study 1.80, 95% CI 1.29 to 2.50<sup>43</sup>; adjusted OR in the other 1.93, 95% CI 1.21 to 3.07<sup>45</sup>). One report noted that harms from ‘major’ or ‘repair’ procedures were similar to harms for ‘minor’ or ‘non-repair’ procedures (adjusted OR 0.77, 95% CI 0.48 to 1.22).<sup>45</sup> Because these studies reported results from a variety of arthroscopic shoulder procedures, many of which take longer to perform, we rated down the evidence to moderate certainty.

### DISCUSSION

Because surgery often has a powerful, placebo effect lasting at least a year,<sup>46 47</sup> we prioritised comparisons between subacromial decompression surgery and placebo surgery in patients with SAPS. Two recent trials at low risk of bias provided high certainty evidence for no important benefit of subacromial decompression surgery on reduction of pain, or improvement of physical function and health-related quality of life over placebo surgery at 6 months to 1 year. Trial data at 2 years’ follow-up and results from unblinded comparisons in six trials comparing subacromial decompression surgery with exercise therapy provided consistent estimates of no patient-important benefit, with low to moderate certainty evidence. The randomised trials did not report any serious harms. For potential harms from subacromial decompression surgery, we found moderate certainty evidence from two well-performed observational studies from the same registry reporting that 5–6 in 1000 patients would experience a serious harm.

### Strengths and limitations of the systematic review

Our systematic review includes evidence from placebo surgery-controlled trials, is based on a comprehensive search for observational studies on harms and directly involved patients. Our results extend previous research by including high certainty evidence from placebo-controlled trials and by considering, through establishing best estimates of MIDs (H Qea, in review), results in light of what patients would consider important. Our findings are consistent with previous systematic reviews<sup>20 48</sup> that found very limited evidence of any benefit of subacromial decompression surgery versus exercise therapy for treating SAPS. We made an a priori decision to extend our analysis of potential harms to include observational data because RCTs will be underpowered for serious rare harms.

We invited patients to guide us in prioritising outcomes for our systematic review, an important step in ensuring that research aiming to guide shared decision-making addresses the issues most important to patients.<sup>49</sup>

### Inferences and implications

On average, patients with SAPS reported reduced pain, and improved physical function and quality of life following both surgical and non-surgical treatment. However, at up to 5 years, irrespective of treatment, patients continued to report pain of an average of 1.5–3 on a scale of 0–10 points on a visual analogue scale. Clinicians working in primary care who are treating patients with SAPS should be aware that some patients experience prolonged symptoms and consider care strategies to support coping.<sup>50–52</sup>

A placebo control helps answer the research question ‘is there a benefit of subacromial decompression surgery?’ because it minimises the risk of detection and performance biases. Both sources of bias contribute to overestimation of treatment effects by up to 20%.<sup>53</sup> The largely consistent findings of the unblinded studies leave little doubt of the inference that subacromial decompression surgery provides no important benefit to patients.

The current evidence provides no support for subacromial decompression surgery as an intervention providing important benefit for patients with SAPS. High-quality evidence indicates that surgery versus placebo surgery confers no important benefit on pain and function—the outcomes most important to patients. Considering the body of evidence, further head-to-head comparisons of subacromial decompression surgery compared with placebo surgery or non-surgical management



with the same population are unlikely to change the results. Policymakers, funders and clinicians should consider these results in their funding and clinical decisions regarding the management of patients with shoulder pain.

### Unanswered questions and future research

Our review was designed to assess the benefits and harms of subacromial decompression surgery for managing SAPS. To date, no trial has demonstrated benefit of surgery for any clinical subgroup. In the future, subgroup claims should be supported by data from well-conducted trials at low risk of bias and the use of established criteria for credibility of subgroup effects,<sup>54</sup> ideally enhanced by individual participant data meta-analysis.<sup>55</sup> For harms we welcome well-performed observational studies that specifically report harms after subacromial decompression surgery separately from harms following other types of shoulder surgery.

Although the finding of no important benefit of surgery is robust, the root cause of subacromial pain and the underlying pathological process remain uncertain, as does the possible best treatment—if such exists—for SAPS. Network meta-analysis might provide information on this question and provide hypotheses to be tested in future methodologically sound trials. Future trialists investigating any treatment for SAPS should adopt a common set of outcomes,<sup>18</sup> and the outcome measures should be standardised.<sup>56</sup>

### CONCLUSION

Subacromial decompression surgery for SAPS provided no important benefit compared with placebo surgery or exercise therapy, and is probably associated with a risk of serious harms.

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TL and JJ for benefits, and PS, LK, AA and TL for harms. Data extraction: JJ and TK for benefits, and PS, LK, AA, TL and CLA for harms. TL and TK performed the data analyses, and CLA and POV took part in the interpretation of the analyses for benefits. CLA analysed the data for harms. TL, CLA and POV performed risk of bias and certainty of evidence assessments. TL and CLA wrote the manuscript. MW, TK, JJ, RB, POV and GG critically revised the manuscript. All authors approved the final version of the manuscript. TL, TK and RB coordinated the parallel reviews. TL is the guarantor.

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