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# Prognostic factors for patellofemoral pain: a multicentre observational analysis

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## ABSTRACT

**Objectives** Describe proportions of individuals with patellofemoral pain (PFP) with an unfavourable recovery over 12 months; identify clinical predictors of poor recovery at 3 and 12 months; and determine baseline values of predictors that identify those with poor 12-month prognosis.

**Methods** An observational analysis utilised data from 310 individuals with PFP enrolled in two randomised clinical trials. Thirteen baseline variables (participant, PFP, study characteristics) were investigated for their prognostic ability. Pain, function and global recovery were measured at 3 and 12 months. Multivariate backward stepwise regression analyses (treatment-adjusted,  $p < 0.10$ ) were performed for each follow-up measure. Receiver operator characteristic curves identified cut-points associated with unfavourable recovery at 12 months.

**Results** 55% and 40% of participants had an unfavourable recovery at 3 and 12 months, respectively. Longer baseline pain duration was significantly associated with poor 3-month and 12-month recovery on measures of pain severity ( $\beta$  11.36 to 24.94), Anterior Knee Pain (AKP) Scale ( $-4.44$  to  $-11.33$ ) and global recovery (OR: 2.32 to 6.11). Greater baseline pain severity and lower AKP Scale score were significantly associated with poor recovery on multiple measures ( $p < 0.05$ ). Baseline duration  $> 2$  months and AKP Scale score  $< 70/100$  were associated with unfavourable 12-month recovery.

**Conclusions** A substantial number of individuals with PFP have an unfavourable recovery over 12 months, irrespective of intervention. Knee pain duration  $> 2$  months is the most consistent prognostic indicator, followed by AKP Scale score  $< 70$ . Sports medicine practitioners should utilise interventions with known efficacy in reducing PFP, and promote early intervention to maximise prognosis.

**Trial registration** Australian study: Australian Clinical Trials Registry (ACTRN012605000463673), ClinicalTrials.gov (NCT00118521); Dutch study: International Standard Randomised Controlled Trial Number Register (ISRCTN83938749)

## BACKGROUND

Patellofemoral pain (PFP) is a common condition that imposes a substantial burden on individuals and healthcare systems internationally. Those affected experience an insidious onset anterior or retro patellar knee pain, which is aggravated by activities that load the patellofemoral joint (PFJ) (eg, squatting, stair ambulation and running).<sup>1,2</sup> This can affect participation in daily work and exercise, with important implications for the prevention of

conditions such as cardiovascular disease, diabetes and osteoporosis. Prospective studies show that PFP is not self-limiting, with persistent symptoms up to 20 years,<sup>3</sup> while preliminary evidence suggests that PFP may be a precursor to PFJ osteoarthritis.<sup>4</sup> Furthermore, individual responses to PFJ osteoarthritis. Further, individual responses to PFJ osteoarthritis. Furthermore, individual responses to PFJ osteoarthritis. Furthermore, individual responses to PFJ osteoarthritis.

In healthcare, prognosis often refers to the likelihood of an individual experiencing a poor outcome over time, based on demographic, diagnostic or comorbid characteristics.<sup>6-8</sup> Identification of clinically meaningful prognostic factors for PFP would provide practitioners with information regarding characteristics that may predict an individual's prognosis, irrespective of subsequent treatment. This can also be used to inform patients regarding their likely clinical course and facilitate more realistic expectations of treatment outcomes.<sup>9</sup> To gain the most accurate representation of prognosis and clinical applicability, a multivariate approach is necessary, which provides probabilities regarding outcomes for different combinations of predictor variables.<sup>7</sup> Four prospective studies have utilised multivariate regression modelling for PFP, identifying older age, greater height, longer symptom duration, lower Anterior Knee Pain (AKP) Scale score (indicating worse symptoms), greater pain during the patellar apprehension test, and longer vastus medialis obliquus reflex response time as prognostic indicators.<sup>10-13</sup> However, only one of these studies controlled for treatment received during the observation period,<sup>10</sup> which is a vital component of multivariate analysis given its potential influence on prognosis.

The current study utilised two international PFP cohorts to address three objectives: (1) describe the proportion of individuals with PFP who experience an unfavourable recovery over 12 months; (2) identify clinically applicable factors that predict poor recovery at 3 and 12 months and (3) determine baseline values of predictor variables to assist clinicians in identifying those who may have a poor 12-month prognosis.

## METHODOLOGY

### Data source

Prospective data were derived from two randomised clinical trials (RCTs) that investigated the effectiveness of conservative interventions for PFP.<sup>2,5</sup> Study protocols have been detailed previously.<sup>14,15</sup> The pooled dataset included 310 participants with PFP (Australian RCT  $n = 179$ ; Dutch RCT  $n = 131$ ), with follow-up over 12 months. Both RCTs had institutional ethics approval

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(The University of Queensland's Medical Research Ethics Committee;<sup>2</sup> The Erasmus Medical University, Rotterdam<sup>5</sup>).

### Participants

The pooled sample comprised individuals recruited via primary practitioner referral (general practitioner, sports physician), and by self-referral from community advertising.<sup>2–5</sup> In both studies, volunteers were included if they had an insidious onset of anterior knee or retropatellar pain greater than 6 weeks duration, aggravated by at least two activities that load the PFJ (eg prolonged sitting, kneeling, squatting, running, cycling and stair ambulation). Exclusion criteria common to both studies were the presence of other defined knee pathology (eg osteoarthritis, patellar tendinopathy and Osgood-Schlatters disease), and physiotherapy intervention within the preceding year. The Australian RCT also excluded individuals who rated their worst PFP over the previous week less than 30 mm on a 100 mm visual analogue scale (VAS); concomitant injury or pain from the hip or lumbar spine; foot conditions precluding the use of foot orthoses; use of foot orthoses within the previous year; current anti-inflammatory drug use; and allergy to adhesive sports tape. Furthermore, while the Dutch RCT recruited participants as young as 14 years, the Australian RCT had a minimum age of 18 years.

### Interventions

Both studies randomly allocated participants to interventions. Participants in the Australian RCT received one of four intervention protocols: prefabricated foot orthoses (n=46); flat shoe inserts (n=44); multimodal physiotherapy consisting of patellar mobilisation, patellar taping, vasti retraining and hip and hamstring stretches (n=45); and foot orthoses plus physiotherapy (n=44).<sup>15</sup> Physiotherapists administered all interventions, once a week for 6 weeks. The Dutch trial compared two intervention protocols, exercise therapy (n=65) and usual care (n=66).<sup>14</sup> Both groups received standardised information regarding PFP and advice to avoid aggravating activities, from their referring practitioner. In addition, those assigned to exercise therapy completed a programme of strength, flexibility and balance exercises, supervised by a physiotherapist during nine sessions over 6 weeks, and were advised to practise at home for an additional 6 weeks.

### Outcome measures and potential predictive variables

Patient-reported measures of pain severity, function and global recovery were assessed over 12 months. Pain severity was measured as worst pain or pain during activity, on a 100 mm VAS or 11-point numerical rating scale (0–10), respectively. Both trials administered the AKP Scale (0–100)<sup>16</sup> and Functional Index Questionnaire (FIQ) (0–16).<sup>17</sup> Global recovery was measured on a five-point Likert scale in the Australian RCT ('marked improvement' to 'marked worsening'), while the Dutch study used a seven-point Likert scale ('completely recovered' to 'worse than ever'). To allow data pooling, these were dichotomised into 'favourable recovery' (defined as 'completely recovered', 'strongly recovered' or 'marked improvement') and 'unfavourable recovery' ('moderate improvement' to 'worse than ever').<sup>18–19</sup> Pain severity, AKP Scale and FIQ were measured at baseline, and all measures conducted at 6 weeks, 3 and 12 months. The Dutch trial also included 6-month and 9-month follow-ups. Since differences in effect can be time dependent, both short-term and long-term prognostic factors were investigated. Short-term was defined as 3-month follow-up, with long-term follow-up defined as 12 months.

Potential prognostic factors were selected from baseline data, primarily from self-reported questionnaires. To comply with recommendations of at least 10 events per variable investigated,<sup>20</sup> the number of candidate variables was restricted to 13. Participant characteristics evaluated for their predictive ability were age, gender, body mass index (BMI), work type (sedentary/active) and sport participation (yes/no). PFP variables were knee pain duration (1–2, 2–6, 6–12 and  $\geq 12$  months), bilaterality and baseline scores of pain and function (worst pain or pain during activity; usual pain or pain at rest; AKP Scale; FIQ). Study characteristics were recruitment method (health professional; self-referral), and allocation to preferred treatment (yes/no/no preference). Possible values and categories of the candidate predictor variables are presented in table 1. To ensure optimal statistical strength, we refrained from categorising continuous variables.<sup>21</sup>

### Data management and statistical analyses

All statistical analyses were performed using SPSS V.17.0 (SPSS Inc, Chicago, Illinois, USA). Baseline data for each candidate variable were presented as means and standard deviations for continuous data, and numbers and percentages for categorical data. Independent t tests and Pearson  $\chi^2$  tests were used to evaluate between-study differences in continuous and categorical baseline variables, respectively. Descriptive statistics were used to describe the condition at short-term and long-term follow-up.

Candidate variables were entered into multivariate backward stepwise regression analyses, for each measure at 3 and 12 months.<sup>22</sup> Linear regression was used for outcomes of pain, AKP Scale and FIQ, and logistic regression for the dichotomous measure of global recovery. Variables with the highest p values were removed one at a time (Wald test), until all remaining variables were significant at  $p < 0.10$ . Treatment group was included as a covariate in all multivariate models initially, but was removed in the backwards stepwise process if not significant. Associations within each multivariate model were regarded as significant at  $p \leq 0.05$ . The strength of the predictive ability of identified factors in each multivariate model was determined using OR for dichotomous measures (global recovery) and unstandardised regression coefficients ( $\beta$ ) for continuous measures (pain, AKP Scale, FIQ), with 95% CI. Overall performance of final models was evaluated with Nagelskerke's  $R^2$ , which estimates explained variation of the model.<sup>23</sup> Discrimination for the dichotomous measure of success was assessed by calculating the area under the receiver operator characteristic (ROC) curve, to evaluate how well each model distinguished patients who perceived themselves as recovered from those who did not.<sup>24–25</sup>

For categorical variables, categories that were predictive of unfavourable recovery at 12 months were obtained from multivariate analyses. Cut-off values for continuous baseline variables that were predictive of unfavourable 12-month recovery were determined by plotting ROC curves using sensitivity and specificity values for all possible cut-points. The point on the ROC curve nearest the upper left-hand corner was selected as the cut-off value for unfavourable recovery.

### RESULTS

Baseline characteristics are presented in table 1. Compared to the Australian RCT, participants in the Dutch trial were significantly younger (mean difference 5.3 years, 95% CI 3.6 to 6.9) and had a lower BMI (1.73, 0.74 to 2.73), greater participation in sport ( $p = 0.022$ ) and greater unemployment ( $p < 0.001$ ). On

**Table 1** Baseline characteristics of study participants

Variable	RCT Australia (n=179)	RCT the Netherlands (n=131)	Overall (n=310)
Age (years)	29.3 (5.8)	24.0 (8.2)	27.1 (7.4)*
Gender (female) (%)	100 (55.9)	84 (64.1)	184 (59.4)
BMI (kg/m <sup>2</sup> )	24.8 (5.1)	23.0 (3.7)	24.0 (4.6)*
Work status (%)			
Not employed	28 (15.6)	52 (40.9)	80 (25.8)*
Sedentary	100 (55.9)	28 (21.4)	128 (41.3)
Active	50 (27.9)	47 (35.9)	97 (31.3)
Unknown	1 (0.6)	4 (3.1)	5 (1.6)
Sport participant (%)	118 (65.9)	102 (77.9)	220 (71.0)*
Duration of knee pain (%)			
1–2 months	8 (4.5)	50 (38.2)	58 (18.7)*
2–6 months	17 (9.5)	39 (29.8)	56 (18.1)
6–12 months	30 (16.8)	19 (14.5)	49 (15.8)
>12 months	120 (67.0)	23 (17.6)	143 (46.1)
Unknown	4 (2.2)		
Bilateral pain (%)	102 (57.0)	79 (60.3)	181 (58.4)
Allocated preferred treatment			
Not allocated	66 (36.9%)	52 (39.7%)	118 (38.1%)
Allocated	55 (30.7%)	50 (38.2%)	105 (33.9%)
No treatment preference	38 (21.2%)	28 (21.4%)	66 (21.3%)
Unknown	20 (11.2%)	1 (0.8%)	21 (6.8%)
Recruitment (health professional), %	2 (1.1%)	131 (100%)	133 (43.3%)*
Usual or resting pain (VAS/100)	36.3 (16.6)	40.8 (22.8)	38.2 (19.5)
Worst or activity-related pain (VAS/100)	60.5 (15.9)	61.5 (22.1)	60.9 (18.7)
Symptoms and function (AKP Scale/100)	71.5 (9.8)	65.1 (14.5)	68.8 (12.4)*
Function (FIQ/16)	9.8 (2.1)	9.5 (2.5)	9.7 (2.3)
Treatment			
Usual care	–	66 (50.4%)	66 (21.3%)
Exercise therapy	–	65 (49.6%)	65 (21.0%)
Physiotherapy	45 (25.1%)	–	45 (14.5%)
Foot orthoses	46 (25.7%)	–	46 (14.8%)
Flat inserts	44 (24.6%)	–	44 (14.2%)
Physiotherapy+foot orthoses	44 (24.6%)	–	44 (14.2%)

\*Significant difference between RCTs ( $p<0.05$ ).

AKP, Anterior Knee Pain; BMI, body mass index; FIQ, Functional Index Questionnaire; RCT, randomised controlled trial; VAS, visual analogue scale.

average, PFP duration was shorter for Dutch participants ( $p<0.001$ ), and they reported lower AKP Scale scores (6.4, 1.5 to 3.5), indicating worse symptoms. The recruitment source for each trial also reflected differences in study methodologies, with the Dutch RCT recruiting significantly more participants from health professionals ( $p<0.001$ ).

## Outcomes

Fifty-five per cent of participants reported unfavourable outcome at 3 months on the dichotomised measure of global recovery (170/310) (table 2). This decreased to 40% (126/310) at 12 months. Mean pain severity (worst or activity-related pain) decreased from 35/100 at 3 months to 26/100 at 12 months. Small improvements were seen on the AKP Scale and FIQ between 3 and 12 months.

## Prognostic indicators

### Pain severity

The multivariate model for worst or activity-related pain severity at 3 months revealed that longer PFP duration (6–12 months:  $\beta$  12.33, 95% CI 3.56 to 21.09; >12 months: 11.36, 3.96 to 18.77), greater baseline severity of worst or activity-related pain (0.45, 0.28 to 0.62) and lower baseline

AKP Scale score ( $-0.33$ ,  $-0.59$  to  $-0.06$ ) were significantly associated with greater pain severity (table 3). The model explained 26% of the total variance.

The 12-month multivariate model identified similar predictors, with longer duration (2–6 months: 22.95, 13.53 to 32.36; 6–12 months: 21.88, 11.6 to 32.15; >12 months: 24.94, 15.78 to 34.11), greater baseline severity of worst or activity-related pain (0.29, 0.11 to 0.46), and lower baseline AKP Scale score ( $-0.45$ ,  $-0.72$  to  $-0.18$ ) significantly associated with greater

**Table 2** Measures at 3 and 12 months (n=310)

Measure	3 months	12 months
Pain severity (worse, activity-related) (/100)	35.37 (26.71)	26.51 (27.56)
Anterior Knee Pain Scale (/100)	80.80 (14.04)	85.00 (13.77)
Functional Index Questionnaire (/16)	12.48 (2.96)	13.37 (2.98)
Global recovery		
Favourable recovery, n (%)	113 (36.5)	162 (52.3)
Unfavourable recovery, n (%)	170 (54.8)	126 (40.6)
Unknown, n (%)	27 (8.7)	22 (7.1)

Mean (SD) unless otherwise stated.

**Table 3** Prognostic factors for pain severity† at 3 and 12 months

Variables	3 months		12 months	
	$\beta$ (95%CI)	p Value	$\beta$ (95% CI)	p Value
Age				
Gender				
Body mass index				
Duration of complaints (ref = 1–2 months)				
2–6 months			22.95 (13.53 to 32.36)	<0.001
6–12 months	12.33 (3.56 to 21.09)	0.006	21.88 (11.60 to 32.15)	<0.001
12+ months	11.36 (3.96 to 18.77)	0.003	24.94 (15.78 to 34.11)	<0.001
Allocated preferred treatment (ref = no preference)				
Not allocated				
Allocated				
Recruitment			-17.50 (-25.85 to -9.15)	<0.001
Bilaterality				
Work type (ref=not employed)				
Sedentary				
Active				
Sport				
Baseline usual/rest pain				
Baseline worse/on activity pain	0.45 (0.28 to 0.62)	<0.001	0.29 (0.11 to 0.46)	0.001
Anterior Knee Pain Scale	-0.33 (-0.59 to -0.06)	0.015	-0.45 (-0.72 to -0.18)	0.001
Functional Index Questionnaire				
R <sup>2</sup>	0.260		0.237	
AUC (cut-off pain at follow-up 40)	0.534		0.631	
AUC (cut-off pain at follow-up 60)	0.790		0.736	
AUC (cut-off pain at follow-up 80)	0.915		0.869	

†Measured as worst or activity-related pain on a visual analogue scale; variable 'treatment' not presented, but was included in final models (significant in 3-month and 12-month model).  
Backward selection: p(IN)=0.05, p(OUT)=0.10.

12-month pain severity (table 3). Recruitment method was also included in the final model (-17.5, -25.85 to -9.15), indicating that participants recruited by a health professional were more likely to have higher pain scores at 12 months. The multivariate model explained approximately 24% of the total variance.

#### AKP Scale

Table 4 presents the multivariate models for prognosis measured on the AKP Scale. The 3-month multivariate model revealed that longer PFP duration (6–12 months: -5.35, -9.76 to -0.94; >12 months: -4.44, -8.17 to -0.71) and lower baseline AKP Scale score (0.56, 0.44 to 0.67) were significantly associated with lower AKP Scale score at 3 months, explaining 33% of the variance. Longer duration (2–6 months: -8.75, -13.46 to -4.04; 6–12 months: -10.02, -15.16 to -4.87; >12 months: -11.33, -15.96 to -6.71) and lower baseline AKP Scale score (0.37, 0.24 to 0.49) were also significantly associated with lower 12-month AKP Scale scores. In addition, higher baseline usual or resting pain severity (-0.1, -0.18 to -0.02) was included in the 12-month multivariate model, which explained approximately 32% of the total variance.

#### Functional Index Questionnaire

Multivariate analysis revealed that lower baseline AKP Scale (0.06, 0.02 to 0.10) and FIQ scores (0.27, 0.08 to 0.47) were significantly associated with lower 3-month FIQ score (table 5). This explained 27% of the total variance. The 12-month multivariate model also included lower baseline AKP Scale score (0.08, 0.05 to 0.11), as well as greater baseline usual/resting

pain severity (-0.02, -0.04 to -0.01), longer PFP duration (2–6 months: -1.56, -2.58 to -0.53; >12 months: -1.6, -2.46 to -0.73) and female gender (-0.71, -1.35 to -0.07). This explained 23.5% of the total variance.

#### Global recovery

Table 6 presents multivariate analyses for global recovery. Factors significantly associated with unfavourable recovery at 3 months were male gender (OR 0.57, 95% CI 0.33 to 0.98), PFP duration greater than 6 months (6–12 months: 2.86, 1.14 to 7.21; >12 months 2.51, 1.22 to 5.16), no sedentary work (0.37, 0.19 to 0.73), and greater usual or resting pain severity at baseline (1.01, 1.00 to 1.03). This explained 17% of the total variance. The index of predictive discrimination for this model (area under the curve) was 0.71, reflecting moderate ability of the model to discriminate between patients with favourable and unfavourable recovery. Longer duration (2–6 months: 4.04, 1.66 to 9.82; 6–12 months: 4.10, 1.62 to 10.40; >12 months: 6.11, 2.56 to 14.59) and recruitment by a health professional (0.50, 0.28 to 0.92) were significantly associated with unfavourable 12-month recovery, explaining 9% of the total variance. The accuracy of the model was moderate with an area under the curve of 0.65.

#### Baseline predictor values associated with unfavourable recovery at 12 months

From the multivariate models, baseline PFP duration greater than 2 months was associated with unfavourable recovery at 12 months. Baseline AKP Scale score of less than 70/100, as well as pain severity of greater than 35/100 (usual/resting) and

**Table 4** Prognostic factors for Anterior Knee Pain Scale at 3 and 12 months

Variables	3 months		12 months	
	$\beta$ (95%CI)	p Value	$\beta$ (95% CI)	p Value
Age				
Gender				
Body mass index				
Duration of complaints (ref=1–2 months)				
2–6 months			–8.75 (–13.46 to –4.04)	<0.001
6–12 months	–5.35 (–9.76 to –0.94)	0.021	–10.02 (–15.16 to –4.87)	<0.001
12+ months	–4.44 (–8.17 to –0.71)	0.024	–11.33 (–15.96 to –6.71)	<0.001
Allocated preferred treatment (ref = no preference)				
Not allocated				
Allocated				
Recruitment				
Bilaterality				
Work type (ref=not employed)				
Sedentary				
Active				
Sport				
Baseline usual/rest pain			–0.099 (–0.18 to –0.02)	0.015
Baseline worse/on activity pain				
Anterior Knee Pain (AKP) Scale	0.56 (0.44 to 0.67)	<0.001	0.37 (0.24 to 0.49)	<0.001
Functional Index Questionnaire				
R <sup>2</sup>	0.330		0.317	
AUC (cut-off AKP at follow-up 90)	0.550		0.656	
AUC (cut-off AKP at follow-up 80)	0.675		0.304	
AUC (cut-off AKP at follow-up 60)	0.101		0.085	

Variable 'treatment' not presented, but was included in final models (significant in 3-month and 12-month model), Backward selection: p(IN)=0.05, p(OUT)=0.10.

**Table 5** Prognostic factors for Functional Index Questionnaire score at 3 and 12 months

Variables	3 months		12 months	
	$\beta$ (95%CI)	p Value	$\beta$ (95% CI)	p Value
Age				
Gender			–0.71 (–1.35 to –0.07)	0.03
Body mass index				
Duration of complaints (ref=1–2 months)				
2–6 months			–1.56 (–2.58 to –0.53)	0.03
6–12 months			–1.03 (–2.09 to 0.03)	0.056
12+ months			–1.60 (–2.46 to –0.73)	<0.001
Allocated preferred treatment (ref=no preference)				
Not allocated				
Allocated				
Recruitment				
Bilaterality				
Work type (ref = not employed)				
Sedentary				
Active				
Sport				
Baseline usual/rest pain	–0.02 (–0.03 to 0.002)	0.08	–0.02 (–0.04 to –0.01)	0.013
Baseline worse/on activity pain				
Anterior Knee Pain Scale	0.06 (0.02 to 0.10)	0.001	0.08 (0.05 to 0.11)	<0.001
Functional Index Questionnaire	0.27 (0.08 to 0.47)	0.007		
R <sup>2</sup>	0.273		0.235	
AUC (cut-off FIQ at follow-up 12)	0.509		0.405	
AUC (cut-off FIQ at follow-up 14)	0.611		0.563	

Variable 'treatment' not presented, but was included in final models (significant in 3-month and 12-month model). Backward selection: p(IN)=0.05, p(OUT)=0.10.

**Table 6** Prognostic factors for unfavourable recovery at 3 and 12 months

Variables	3 months		12 months	
	OR (95%CI)	p Value	OR (95% CI)	p Value
Age				
Gender	0.57 (0.33 to 0.98)	0.040		
Body mass index				
Duration of complaints (ref = 1–2 months)				
2–6 months	2.32 (0.99 to 5.38)	0.051	4.04 (1.66 to 9.82)	0.002
6–12 months	2.86 (1.14 to 7.21)	0.026	4.10 (1.62 to 10.40)	0.003
12+ months	2.51 (1.22 to 5.16)	0.013	6.11 (2.56 to 14.59)	<0.001
Allocated preferred treatment (ref = no preference)				
Not allocated				
Allocated			0.50 (0.28 to 0.92)	0.025
Recruitment				
Bilaterality	1.69 (0.99 to 2.87)	0.054		
Work type (ref=not employed)				
Sedentary	0.37 (0.19 to 0.73)	0.004		
Active	0.51 (0.26 to 1.03)	0.06		
Sport				
Baseline usual/rest pain	1.01 (1.00 to 1.03)	0.043		
Baseline worse/on activity pain				
Anterior Knee Pain Scale				
Functional Index Questionnaire				
R <sup>2</sup>	0.17		0.09	
AUC	0.706		0.648	

Variable 'treatment' not presented, but was included in the final 3-month model (not significant).  
Backward selection: p(IN)=0.05, p(OUT)=0.10.

greater than 60/100 (worst/activity-related), corresponded to unfavourable recovery at 12 months.

## DISCUSSION

An important finding of this study was that, of 310 participants with PFP, 40% reported unfavourable 12-month recovery (rated as global recovery of 'moderate improvement' to 'worse than ever'). This was higher than the proportion randomly allocated to either of the RCT minimal intervention or control arms (110/310, 35%), and highlights that PFP is not self-limiting, even in a mixed cohort who have undergone effective interventions.<sup>2–5</sup> Interestingly, the majority of participants who experienced a favourable recovery had already reached this within the first 3 months. This confirms an earlier study that reported greatest improvement in PFP and function during the initial 3 months.<sup>26</sup> Furthermore, Witvrouw *et al*<sup>26</sup> reported a 5-year AKP Scale score similar to our findings at 12 months. Taken together, these findings suggest that the improvements in knee symptoms observed following 5–6 weeks intervention, may plateau beyond this time. Considering that persistent pain can negatively affect daily and occupational tasks, physical activity, social participation and general and mental health, the implications of chronic PFP require further investigation.

Across the four outcome measures investigated, the most consistent short-term and long-term prognostic factors were longer PFP duration and lower AKP Scale score. Specifically, those who reported PFP of greater than 2 months duration and AKP Scale score less than 70/100 had a poorer 12-month prognosis. Pain severity measured on a severity measured on a VAS was also identified as a frequent prognostic factor, being usual or resting pain severity greater than 35/100, and worst or activity-related pain severity greater than 60/100. These findings concur with

our previous analysis involving a smaller proportion of this PFP cohort,<sup>10</sup> and with other musculoskeletal conditions.<sup>9</sup>

There were additional variables identified as prognostic factors on particular measures at particular times. While these are interesting and hypothesis-generating, they need to be investigated further before being considered as prognostic factors. For example, participants recruited via a health professional tended to have a poorer prognosis. This may be related to baseline symptom severity, in that those with worse symptoms may be more likely to visit a health professional. Interestingly, conflicting results were found for the prognostic factor gender for the different time periods and on different measures. Males tended to have a poorer prognosis at 3 months on the measure of global recovery, while females had poorer function at 12 months as measured on the FIQ, perhaps reflecting gender differences in temporal pain patterns. Finally, those with a sedentary occupation were less likely to report an unfavourable outcome compared to non-employees at 3 months, possibly due to differences in daily knee loading patterns. While these factors should be considered when evaluating individual patient prognosis, less consistent findings regarding their prognostic ability means that they should be regarded as secondary predictors until further studies have been conducted.

It is important to consider that the predictors investigated accounted for a smaller percentage of total variance for the global recovery score than for outcomes of pain and/or function. It is known that in patients with acute lateral ankle sprains, favourable recovery can be partly explained by other symptoms (eg, instability).<sup>27</sup> This indicates that other variables interact with the more general measure of global recovery. A consideration in this PFP cohort is that other variables not investigated may have a greater influence on perceived global recovery than

on measures of pain and function. These may include muscle strength, proprioception, kinesiophobia, quality of life, psychological health and pain characteristics such as central sensitisation, and warrant consideration in design of future prognostic studies. Furthermore, classifications of ‘favourable’ and ‘unfavourable’ recovery were made by the investigators based on participant responses, rather than by the participants themselves. More insight is needed into the meaning of a ‘favourable recovery’ in PFP, and to reach consensus about implications for clinical practice.

Interestingly, more than two-thirds of participants in the Australian RCT, who were recruited primarily via self-referral, reported PFP duration of 12 months or longer. Additionally, at least 25% of those recruited by health professionals in the Netherlands had their knee pain for 6 months or longer before they visited their health professional. On the basis of this long symptom duration in many of the Dutch and Australian participants, it is apparent that the general public and health professionals (eg, general and sports physicians, orthopaedists, physiotherapists) require education regarding the importance of early intervention to maximise prognosis for those with PFP. Furthermore, utilisation of interventions with known efficacy to reduce symptoms,<sup>28</sup> early in the course of the condition, may enhance PFP prognosis. Considering the proposed relationship between PFP and PFJ osteoarthritis in later life,<sup>4</sup> this may have important implications for minimising the impact of chronic musculoskeletal disease.

### Strengths and limitations

This study utilised data from 310 participants in two high-quality RCTs, and represents the largest cohort of PFP participants. Additionally, this study has attempted to address methodological limitations of previous prognostic studies, and is the first to quantify baseline scores that may highlight poor prognosis. Despite differences between the two cohorts (eg, recruitment method), this study identified from the pooled dataset prognostic factors that are able to consistently identify those with a poorer prognosis over 12 months.

While this study represents an important step in identifying PFP prognostic factors, there are limitations to acknowledge. First, this post hoc analysis of RCTs was not the optimal design to evaluate prognosis, and the sample size not powered for prognostic analysis. Nevertheless, we addressed this limitation by restricting candidate variables to a maximum of 13 for multivariate modeling. This adhered to ‘the rule of ten’ (type I error), meaning that we did not enter more than one variable per 10 PFP participants with a favourable recovery. A further consequence of the study design was that six different interventions were provided to participants across the two RCTs. We attempted to adjust for differing treatment effects on follow-up measures by entering treatment as a factor in all analyses. Finally, because the RCTs were not designed to investigate prognostic factors, potential predictor variables investigated were limited to those measured consistently by both studies at baseline. As such, we were unable to investigate other variables that may have influenced prognosis, such as health-related quality of life, physical activity, muscle strength and kinesiophobia.

### CONCLUSIONS

A substantial proportion of individuals with PFP have an unfavourable recovery over 12 months, irrespective of intervention. Duration of PFP greater than 2 months is the most consistent predictor of poor long-term prognosis, along with a score less than 70 on the AKP Scale. Those that report higher levels

of usual/resting or worst/activity-related pain should also be flagged as potentially having a poor 12-month prognosis. Sports medicine practitioners should promote education regarding the natural history and importance of early intervention for PFP, and prescribe interventions with known efficacy in reducing PFP, in order to maximise prognosis.

### What are the new findings

- ▶ A substantial proportion of people with patellofemoral pain (PFP) experience an unfavourable outcome over 12 months, irrespective of intervention.
- ▶ PFP of greater than 2 months duration at baseline is the most consistent predictor of poor outcome.
- ▶ An Anterior Knee Pain Scale score less than 70/100, indicating worse pain and function, is also a consistent prognostic factor.
- ▶ Findings suggest that early management utilising interventions with known efficacy in reducing PFP may enhance prognosis.

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